

## ASHOK KUMAR GUPTA

### Insolvency Professional

Managing Partner- ProResolution Advisors LLP

Email : [cmaashokgupt@gmail.com](mailto:cmaashokgupt@gmail.com)

:service@proresolution.in

Mobile : 9871251616

Linkedin : <https://www.linkedin.com/ashokkgupt/>



### BRIEF PROFILE OF ASHOK K GUPTA

Name	Ashok Kumar Gupta (AKG)																
Work Experience	> 26 years																
Insolvency Professional Experience in IBC/ Restructuring etc.	<table><tr><th>Assignment handled as</th><th>Number of Cases/ Matter</th></tr><tr><td>As IRP &amp; RP</td><td>8</td></tr><tr><td>Proposed IRP (including real estate projects)</td><td>3</td></tr><tr><td>As Liquidator/Voluntary Liquidator</td><td>4</td></tr><tr><td>AR in Real Estate Projects &amp; Others</td><td>6</td></tr><tr><td>Advisor /Consultant/service provider in IBC</td><td>&gt;15</td></tr><tr><td>Consultant to RA</td><td>7</td></tr><tr><td>Restructuring and M&amp;A (including cross boarder- WOS/JV) And Scheme of Compromise Arrangement under section 230</td><td>7</td></tr></table> <ul style="list-style-type: none"><li>Total Debt Size &gt; 10000 crores</li><li>Size of Cases : 5Cr &lt;3500 crores exposure of Financial Creditors, FC (secured) &gt;17 and FC ( unsecured &gt;1800)</li><li>Scale of cases : up to 3000 workforce, Turnover up to 500 crores, home buyers &gt;1800</li><li>Geographical location : Delhi NCR, Chandigarh, Indore, Jaipur, Mumbai, Bangalore and UP, Abroad : UK and Singapore</li><li><b>Real Estate Projects :</b><ul style="list-style-type: none"><li>Celestial Estate Pvt. Ltd. ( Group Earth Infra)AR for a class of creditors having &gt; 350 home buyers , Resolution Plan approved.</li><li>Sarvottam Realcon Pvt Ltd. – Plan under negotiations</li><li>Ashiana Landcraft Pvt Ltd.</li><li>Three C Green Developers Pvt Ltd.</li><li>Aadi Best Consortium Pvt Ltd</li><li>SARE Reality- Chennai, Amritsar and NCR Delhi.</li></ul></li></ul> <p><b>Real Estate Projects – Advisory services in</b></p> <ol style="list-style-type: none"><li>Indirapuram Habitat Centre Pvt. Ltd, having more than 1300 home buyers and</li><li>Today Homes Infrastructures having 5 projects in Haryana, Noida and Ludhiana. Advisory services to three main Association. Resolution Plan approved by CoC.</li><li>Lok Housing and Constructions Ltd.- advisory , most of allottees got registry of flat and possession.</li></ol>	Assignment handled as	Number of Cases/ Matter	As IRP & RP	8	Proposed IRP (including real estate projects)	3	As Liquidator/Voluntary Liquidator	4	AR in Real Estate Projects & Others	6	Advisor /Consultant/service provider in IBC	>15	Consultant to RA	7	Restructuring and M&A (including cross boarder- WOS/JV) And Scheme of Compromise Arrangement under section 230	7
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Contribution to IBBI	Draft of Compliance Certificate and Time line under Liquidation Regulations, Solutions for various issued under CIRP.																
IP Empaneled with	SBI, PNB, BOB, IDBI, IFCI, Canara, BOI, Allahabad, United Bank, Union, Karnataka, EXIM, ARCIL, PEC , JM Financial Rec. Co. etc.																
IP as Administrator	SEBI – first case of north India. Advisory services – 1 case																
Qualification etc.	<table><tr><td>Insolvency Professional Exam</td><td>- Insolvency &amp; Bankruptcy Board of India</td></tr><tr><td>Cost &amp; Management Accountant</td><td>- ICAI (Cost) ( Fellow Member)</td></tr><tr><td>MBA ( International Business )</td><td>- IIFT ( Gold Medalist)</td></tr><tr><td>SMP (3-Tier Senior Management Program)</td><td>- IIM Ahmedabad</td></tr><tr><td>One Yr. Special Course in Commerce</td><td>- Hansraj College , Delhi University</td></tr><tr><td>(Equivalent to B. com (Hon.)</td><td>- Indore Christian College</td></tr><tr><td>Advance Certificate in IFRS</td><td>- KPMG &amp; NIIT Imperia</td></tr><tr><td>Schooling</td><td>- Grasim School ( Aditya Birla School), Nagda</td></tr></table>	Insolvency Professional Exam	- Insolvency & Bankruptcy Board of India	Cost & Management Accountant	- ICAI (Cost) ( Fellow Member)	MBA ( International Business )	- IIFT ( Gold Medalist)	SMP (3-Tier Senior Management Program)	- IIM Ahmedabad	One Yr. Special Course in Commerce	- Hansraj College , Delhi University	(Equivalent to B. com (Hon.)	- Indore Christian College	Advance Certificate in IFRS	- KPMG & NIIT Imperia	Schooling	- Grasim School ( Aditya Birla School), Nagda
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Key Team Member (Debt Mg.)	FCA-5; FCS-1, FCMA-1, Advocate-3, MBA-2; B.Tech-2																
Infrastructure and presence in	Delhi NCR, Mumbai, Indore, Jaipur, Chandigarh, Chennai, Allahabad and Agra																
Award	Gold Medal in MBA (IB) from Indian Institute of Foreign Trade (IIFT) Subhash Adhay Award for securing highest Marks in Cost & Management Audit																
Practicing Member	IP and FCMA																

Other Membership	Institution of Valuers (IOV)- FA& S, Honorary member of “ Education Training and Research Committee of IOV” registered under section 247 of Companies Act Ex-Member of Advisory body of IPA-ICAI, APICS, IIMAGES- IIM Alumni group of Experts Society (Treasurer)
Virtual membership and Training and development Program	FIEO, CII, AEPC Participating various training and education program on Finance, Accounts, Taxation, Management, Cyber crime & Fraud, Audit, Supply Chain Management, Public and Private Partnership conducted by & IIM/ IIFT/ ICAI/ ICMA/ICSI , KPMG, E&Y & PWC & various Chamber of Commerce & Banks
<b>Member of Various Committee/ Executive committee</b>	He is Honorary Member of “ Education Training and Research Committee” of Institution of Valuers, Registered Valuers Organization (RVO) under Companies Act. He is in the team of IP panel, Monitoring body in the matter of IBC with IPA agency and actively participated in various CIRP and Liquidation issue in IBBI. He was one of speaker in International Conference on Cross Boarder Insolvency He is Treasurer and executive member of IIM Alumni Society known as <b>IIMAGES</b> He was member of management and audit committee in Oil and Gas Exploration block with ONGC.
<b>EXPERIENCE AS FACULTY</b>	<ol style="list-style-type: none"> <li>1. He is Guest Faculty – IIFT (Indian Institute of Foreign Trade) for Global Supply Chain Management</li> <li>2. He is visiting faculty of IOV (General laws and Financial Laws)</li> <li>3. He was visiting Faculty in Satyam International Polytechnic Management Institute, Pitampura</li> </ol>
<b>Area of Operations</b>	<p>AKG is a multi-tasking professional having over 25 years wide experience in Finance, Investment Portfolio, Hedging &amp; Risk Management, valuation , Accounting, Budgeting &amp; Costing, Finance, International Trading , M&amp;A, Audit &amp; Due Diligence, Taxation, Business Strategy, Overseas operation including WOS/JV and Trade office (12 offices (including WOS/JV based in UK, Singapore, Hongkong, Milan, Russia, Dubai) , Recovery, Debt Restructuring, Factory set up, EPZ/FTZ, DGFT, FEMA, manufacturing and business set up, marketing research, commercial litigation, DRT, Arbitration, SARFAESI, BIFR, Insolvency &amp; Bankruptcy code, Valuation, Supply Chain Management Insurance, Custom, Port &amp; Vessel Operations, HR compliance etc. He has been involved in various kind of litigation till Arbitration of Supreme court.</p> <p>He had been involved in tax matter of Capital Gain arise on sale/ transfer of property and company, Valuation of Company, Valuation of shares of WOS/JV, valuation and transfer of land &amp; Building, factory, Assessment of value of Plant &amp; Machinery for custom duty, valuation of brand value, Insurance claim, fixation of all India duty draw back rate, conversion and change of use of land.</p>
<b>Dealing, Appearance and Liaising</b>	<p>MOF, MOC, MOT, MCA, Textile Ministry, MOT, DOT, Agriculture &amp; APEDA, RBI, DGFT, Promotional Councils, Chamber of Commerce, NEPZ/FTZ/SEPC, CIT, Embassy, DRT, NCLT, Foreign Trade Deptt, Banks &amp; FIs, Custom, Excise &amp; Service Tax Deptt, Port Authorities, MCD, Sub-Registrar, Land Revenue Deptt, Chief Factory Inspector, Pollution Board, Fire, Forest &amp; mining, Labour Deptt., PF, ESI, SSI, Appellate authorities and various State Authorities &amp; District authorizes.</p> <p>Defense Permission for Satellite base DH program for Star at Noida for arranging lease option, from Hindon Baston and OIL and Gas explorer area in Jaisalmer ( near Pakistan)</p>
<b>Career graph and kind of Industries/ Sectors served ( include audit)</b>	He began his career with Maruti as a finance trainee and has thereon successfully risen through the ranks by handling multidimensional assignments till CFO/ BDO in various sector viz. Manufacturing and Trading houses, NEPZ, EOU, EPZ, Oil and Gas exploration, IT enabled Services, Footwear, Textile & Garments, Agro commodities (upcountry procurement to export of wheat, rice, soya, tea, coffee, sugar, food grain oil, sea food, copper, zinc, gold, handloom and other consumer item etc.), oil extraction plant, Cement, DG Power, Die, Forging, Casting & Molding, Hotel & Hospital, Chemical, team member for setting Retail Chain of Reebok, Real estate & infrastructure, Steel, Consumer durables, Chemical, Logistic, Warehousing, Courier, Printing and Packaging, Publication, sugar industry, Finance and Leasing, School, Society, Trust etc.

<b>Geography where he worked/ served</b>	<p>M.P. (Grasim, Nagda, and Indore), Maharashtra – Mumbai and Pune  Gujrat - Ahmedabad, Kandla, Gandhidham, Jamnagar, Vadodra and ports area  Rajasthan – Alwar (MIA Ind. Area ), Behror, Jaipur ( IBC matter), Jaisalmer ( oil and Gas exploration), Sri Ganganagar, Haryana- Gurgaon and Chandigarh, Amritsar/ Tarnatal to Kurukshetra), UP- Noida and Ghaziabad, Tamilnadu- Chennai, Madurai and Tutikorin, Karnataka- Bangalore &amp; Mangalore, WE- Kolkata, AP- Vizag and Goa</p> <p>Abroad – Singapore/ Hongkong, UK and Moscow.</p>
<b>Member of Audit &amp; Management Committee</b>	<p>He was member of management and audit committee in Oil and Gas Exploration block with ONGC</p> <p>He is in the team of IP panel, Monitoring body in the matter of IBC with IPA agency and actively participated in various CIRP and Liquidation issue in IBBI.</p>
<b>Philosophy</b>	<p>He believes in finding simple solutions to complex business problems. Positive attitude is the key of success. He brings a blend of business and finance for ensuring success.</p>

**(ASHOK KUMAR GUPTA)**